



CAPITAL FINANCIAL, LLC

Lithographers & Photoengravers Welfare Fund Local #285

Account Statement

11/01/13 through 11/30/13

Capital Financial, LLC ♦ 3413 Olandwood Court, Suite 104 ♦ Olney, Maryland 20832
Phone: 301-260-8575 ♦ Toll Free: 877-527-5966 ♦ www.capfinllc.com

*Securities Offered Through H. Beck, Inc. * Member FINRA/SIPC**
6600 Rockledge Drive, 6th Floor, Bethesda, MD 20817 (301) 468-0100

H. Beck, Inc. and Capital Financial LLC are not affiliated



CAPITAL FINANCIAL, LLC

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ASSET SUMMARY

November 30, 2013

<u>Asset Category</u>	<u>Beginning Acct Balance 10/31/2013</u>	<u>Purchases</u>	<u>Sales</u>	<u>Interest</u>	<u>Fees</u>	<u>Ending Acct Balance 11/30/2013</u>
Treasury Money Market	\$ 24,963.27		\$ 1,000.00	\$ 1,175.82	\$ 701.92	\$ 24,437.17
Certificates of Deposit	\$ 1,792,980.00	\$ 100,000.00	\$ 99,000.00			\$ 1,793,980.00
Totals	\$ 1,817,943.27	\$ 100,000.00	\$ 100,000.00	\$ 1,175.82	\$ 701.92	\$ 1,818,417.17



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LIST OF ASSETS

November 30, 2013

US Treasury Money Market

US Treasury Money Fund	\$	24,437.17
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Certificates of Deposit

Financial FSB Acct#70794 Memphis, TN Rate 0.55% Eff Date 02/23/12 Mat Date 02/24/14	\$	99,000.00
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Financial FSB Acct#71174 Memphis, TN Rate 0.55% Eff Date 04/20/12 Mat Date 04/21/14	\$	99,000.00
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Financial FSB Acct#71527 Memphis, TN Rate 0.45% Eff Date 06/28/12 Mat Date 06/30/14	\$	50,000.00
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Ally Bank CD# Midvale, UT Rate 0.85% Eff Date 11/14/13 Mat Date 11/16/15	\$	100,000.00
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Ally Bank CD# Midvale, UT Rate 0.80% Eff Date 09/18/13 Mat Date 09/18/15	\$	100,000.00
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Liberty Bank-AR Acct#100065556 Jonesboro, AR Rate 0.4% Eff Date 06/17/13 Mat Date 06/17/15	\$	249,000.00
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One West Bank Acct#2953157880 Pasadena, CA Rate 0.658% Eff Date 01/24/13 Mat Date 08/25/14	\$	99,000.00
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One West Bank Acct#2953160107 Pasadena, CA Rate 0.757% Eff Date 03/22/13 Mat Date 03/23/15	\$	98,980.00
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LIST OF ASSETS

November 30, 2013

One West Bank Acct#2953973966 Pasadena, CA Rate 0.75% Eff Date 06/29/12 Mat Date 06/30/14	\$	50,000.00
Union National B&T Acct#04186-789 Elgin, IL Rate 0.8% Eff Date 06/17/13 Mat Date 06/17/15	\$	200,000.00
Worlds Foremost Bank Acct#19735 Lincoln, NE Rate 2.5% Eff Date 06/12/13 Mat Date 06/12/23	\$	249,000.00
FirstBank PR Santurce, PR Rate 0.75% Eff Date 8/16/13 Mat Date 8/17/2015	\$	151,000.00
Discover Bank Greenwood, DE Rate 0.75% Eff Date 8/21/13 Mat Date 8/21/15	\$	249,000.00

\$	1,793,980.00
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Total Assets

\$	24,437.17
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\$	1,818,417.17
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STATEMENT OF TRANSACTIONS

11/1/2013 - 11/30/2013

<u>Date</u>	<u>Description</u>	<u>Purchases</u>	<u>Sales</u>	<u>Interest</u>	<u>Fees</u>
FEDERATED					
11/14/2013	Same Day Wire Redemption		\$ 1,000.00		
11/19/2013	Investment Advisor Fees				\$ 701.92
11/29/2013	CD Interest Deposit by Wire			\$ 624.88	
11/29/2013	CD Interest Deposit			\$ 550.64	
11/29/2013	Income Reinvest			\$ 0.30	
Total Federated			\$ 1,000.00	\$ 1,175.82	\$ 701.92
CERTIFICATES OF DEPOSIT					
11/14/2013	First Credit Bank Acct#410-87223 W. Hollywood, CA Rate 0.80% Eff Date 11/14/11 Mat Date 11/14/13		\$ 99,000.00		
11/14/2013	Ally Bank Midvale, UT Rate 0.85% Eff Date 11/14/13 Mat Date 11/16/15	\$ 100,000.00			
Total Certificates of Deposit		\$ 100,000.00	\$ 99,000.00		



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GROUP# 73500 FUND# 398 ACCOUNT# 1237237228

INTEREST LOG 11/1/2013 - 11/30/2013

<u>Description</u>	<u>Interest</u>
CD Interest - Centennial Bank #100065556	\$85.01
CD Interest - Financial FSB #70794	\$46.25
CD Interest - Financial FSB #71174	\$46.25
CD Interest - Financial FSB #71527	\$19.11
CD Interest - First Credit #41087223	\$67.27
CD Interest - FirstBank PR	\$96.18
CD Int-OneWest Bk #2953157880	\$55.34
CD Int-OneWest Bk #2953160107	\$63.66
CD Int-OneWest Bk #2953973966	\$31.86
CD Interest - Union NB #04186-789	\$135.89
CD Interest - Worlds Foremost #19735	\$528.70
Total	<u><u>\$1,175.52</u></u>

Deposited at Federated.



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Lithographers & Photoengravers WF Local 285

CD Portfolio

December 1, 2013

<u>Institution & Amount</u>	<u>Rate</u>	<u>Term</u>	<u>Effective</u>	<u>Maturity</u>
1. Financial FSB Memphis, TN \$ 99,000.00 21063	0.5500%	732	02/23/2012	02/24/2014
2. Financial FSB Memphis, TN \$ 99,000.00 21119	0.5500%	731	04/20/2012	04/21/2014
3. Financial FSB Memphis, TN \$ 50,000.00 21162	0.4500%	732	06/28/2012	06/30/2014
4. OneWest Bank Pasadena, CA \$ 50,000.00 21166	0.7500%	731	06/29/2012	06/30/2014
5. One West Bank Pasadena, CA \$ 99,000.00 21279	0.6580%	578	01/24/2013	08/25/2014
6. One West Bank Pasadena, CA \$ 98,980.00 21327	0.7570%	731	03/22/2013	03/23/2015
7. Union National B&T Elgin, IL \$200,000.00 21367	0.8000%	730	06/17/2013	06/17/2015
8. Centennial Bank Fr. Liberty Bank of Jonesboro, AR \$249,000.00 21368	0.4000%	730	06/17/2013	06/17/2015
9. FirstBank PR Santurce, PR \$151,000.00 21397	0.7500%	731	08/16/2013	08/17/2015
10. Discover Bank Greenwood, DE \$249,000.00 21405	0.7500%	730	08/21/2013	08/21/2015



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11. Ally Bank Midvale, UT \$100,000.00 21424	0.8000%	730	09/18/2013	09/18/2015
12. Ally Bank Midvale, UT \$100,000.00 21438	0.8500%	732	11/14/2013	11/16/2015
13. Worlds Foremost Bank Lincoln, NE \$249,000.00 21365	2.5000%	3,652	06/12/2013	06/12/2023
\$1,793,980.00	Total Value			2173